

EXHIBITS

COMPLAINTS AGAINST GOODWIN LAWYERS

The complaint against Ms. *Azuero* is the fourth Bar complaint the Burkes filed within a fairly short timeline. The Burkes - so far – have filed three complaints against partners at Goodwin, all active attorneys in the Florida and Illinois cases. As discussed herein, *Azuero* is one of a group of Goodwin attorneys who violated the Rules of Professional Conduct in their respective Bar’s while representing clients in the named cases. The legal system requires individual Bar complaints be filed against attorneys who violate the rules.

<i>Bar</i>	<i>Filed</i>	<i>Name & Complaint Ref.</i>	<i>Status & Comments</i>
VSB	June 8, 2020	Thomas ‘Tom’ Hefferon - Re: Complaint about Matthew Stephen Sheldon and Thomas Michael Hefferon	On June 11, 2020, (in 3 days) VSB dismissed the inquiry on the basis they would not get involved while there is ongoing court proceedings. This conflicts with the rules and the Burkes have advised the Bar they have not accepted the dismissal.
VSB	June 8, 2020	Matthew ‘Matt’ Sheldon- Re: Complaint about Matthew Stephen Sheldon and Thomas Michael Hefferon	On June 11, 2020, (in 3 days) VSB dismissed the inquiry for the same reason as Hefferon above. The Burkes responded, objecting to the expedited decisions re Sheldon and Hefferon and the Burkes disputes in these two complaints is ongoing.
DCBAR	Sept. 2 & 17 2020	Matthew ‘Matt’ Sheldon - Rose Smith/Burke, Burke Undocketed No. 2020- U666	DC Bar self-initiated a complaint based on a copy of the letter the Burkes sent to Chief Judge Pallmeyer re the Illinois case. On Oct. 1, 2020, DCBar dismissed the inquiry for the reasons per the copy letter included in this Exhibit.
DCBAR	June 15, 2020	Sabrina Rose-Smith or Rose Smith - Rose Smith/Burke, Burke Undocketed No. 2020-U481	On August 4, 2020, the DCBar dismissed the inquiry for the same reason as Hefferon above. The Burkes responded on August 4, 2020. To date, no reply has been received.



Hamilton P. Fox, III
Disciplinary Counsel

Julia L. Porter
Deputy Disciplinary Counsel

Senior Assistant Disciplinary Counsel
Myles V. Lynk
Becky Neal

Assistant Disciplinary Counsel
Hendrik deBoer
Jeri U. Dunston
Ebtelaj Kalantar
Jelani C. Lowery
Sean P. O'Brien
Joseph C. Perry
William R. Ross
Clinton R. Shaw, Jr.
H. Clay Smith, III
Caroll Donayre Somoza
Traci M. Tait

Senior Staff Attorney
Lawrence K. Bloom

Staff Attorney
Angela Walker

Manager, Forensic Investigations
Charles M. Anderson

Investigative Attorney
Julia Frankston-Morris*
Azadeh Matmpour

Intake Investigator
Melissa Rolffot

*Admitted only in New Jersey
and Pennsylvania

OFFICE OF DISCIPLINARY COUNSEL

Due to the limited capabilities of our Office at this time, there will be a delay in our receipt of regular mail. We do not accept correspondence by email in a preliminary, undocketed investigation. You may contact the undersigned at (202) 454-1745. It may take up to three business days to return your call. We appreciate your patience during this time.

October 1, 2020

CONFIDENTIAL

Joanna Burke
kajongwe@gmail.com

John Burke
alsatton123@gmail.com

**Re: Sheldon/Burke, Burke
Undocketed No. 2020-U666**

Dear Mr. and Mrs. Burke:

We reviewed the disciplinary that you filed against Matthew S. Sheldon, Esquire, who is an attorney with the law firm Goodwin Procter, LLP.

On September 2, 2020, you wrote a letter to The Honorable Rebecca R. Pallmeyer, who is the Chief Judge of the United States District Court for the North District of Illinois, Eastern Division asking the court to reconsider an August 25, 2020 order signed by Judge Harjani that denied sanctions against Goodwin Procter LLP.

We reviewed the computer docket sheet in that case, and it appears that much of the docket is under protective order and that you are not parties to the case.

At this time, we will not further investigation this matter. We will not second-guess the court's decision. If the court later makes a finding that Mr. Sheldon engaged in misconduct and imposes sanctions, you may write to us again, including a copy of the court's decision.

This file is now closed.

Sincerely,

Angela Walker
Staff Attorney

AW:BN:asw

EXHIBIT: GOODWIN LAWYERS 11th CIRCUIT APPEAL

Azuero admits to being one of at least a dozen Goodwin lawyers of record on the Florida case. There’s 11 Goodwin lawyers per the docket at the 11th Cir.

<i>No.</i>	<i>Yrs</i>	<i>11th Cir.</i>	<i>Goodwin Lawyers</i>
1.	13		Azuero, Catalina E. Senior Attorney, Boston. https://www.goodwinlaw.com/professionals/a/azuero-catalina
2.	3	NOA** 3/11/2020	Clarke, Edwina. Associate. (Clerked with Breyer, Souter etc.) https://www.goodwinlaw.com/professionals/c/clarke-edwina
3.	15		Craven, Laura S. Senior Attorney, Boston. https://www.goodwinlaw.com/professionals/c/craven-laura
4.	25		Hefferon, Thomas. Partner, Washington, D.C. https://www.goodwinlaw.com/professionals/h/hefferon-thomas
5.	-		Proress, Amanda B. Attorney, New York. https://www.linkedin.com/in/amanda-proress-abb6ba141/ https://www.jdsupra.com/authors/amanda-proress/
6.	7		Riffee, Matthew L. Partner, Washington, D.C. https://www.goodwinlaw.com/professionals/r/riffee-matthew
7.	12	NOA 10/16/2019	Rose-Smith, Sabrina, M. Partner, Washington, D.C. https://www.goodwinlaw.com/professionals/r/rose_smith-sabrina
8.	6	NOA 9/3/2019	Sheldon, Matthew S. Partner, Washington, D.C. https://www.goodwinlaw.com/professionals/s/sheldon-matthew
9.	4		Smith, Tierney. Associate, Washington, D.C. https://www.goodwinlaw.com/professionals/s/smith-tierney
10.	9		Stoll, Laura A. Partner, Los Angeles. https://www.goodwinlaw.com/professionals/s/stoll-laura
11.	12		Kayman, W. Kyle. Partner, Washington, D.C. https://www.goodwinlaw.com/professionals/t/tayman-w-kyle

Big Law Data: Excl. Proress, the lawyers average no. of years employment with Goodwin is 10.6 years.

** Associate Edwina Clarke’s notice of appearance is filed on the same day the Appellee’s brief is filed, March 11, 2020.

“The conclusions to be drawn from EAT WHAT YOU KILL are sobering:
The factors that motivated Gellene’s misconduct, and then permitted him to rationalize that misconduct, are stable aspects of the current law firm environment, unlikely to change.”

- A Book Review of Eat What You Kill by Milton C. Regan, Jr., University of Michigan Press (2004)

- Michael St James

RE CATALINA E. AZUERO; FLORIDA BAR; FILE NO. 2021-00,102 (2A)

EXHIBIT: AZUERO NOTICE OF APPEARANCES

**COUNTY of COOK, ILL. (2016)
& S.D. FL. (2018)**

Case: 1:14-cv-02280 Document #: 122 Filed: 04/12/16 Page 1 of 3 PageID #:2618
(Revised 12/11)

**United States District Court Northern District of Illinois
MOTION FOR LEAVE TO APPEAR PRO HAC VICE**

Case Title: County Of Cook	Plaintiff(s)
VS.	
Bank of America Corporation et al	Defendant(s)
Case Number: 1:14-cv-02280	Judge: Honorable Elaine E. Bucklo

I, Catalina E. Azuero hereby apply to the Court

under Local Rule 83.14 for permission to appear and participate in the above-entitled action on behalf of
Defendants Bank of America Corporation, Bank of America N.A., Countrywide Financial Corporation, Countrywide Home Loans Inc., Countrywide Bank FSB, Countrywide Warehouse Lending LLC, BAC Home Loans Servicing LP, Merrill Lynch & Co., Inc., Merrill Lynch Mortgage Capital Inc., and Merrill Lynch Mortgage Lending, Inc.
 _____ by whom I have been retained.

I am a member in good standing and eligible to practice before the following courts:

Title of Court	Date Admitted
Massachusetts Supreme Judicial Court	1/10/2008
United States Court of Appeals for the First Circuit	4/24/2012
United States District Court for the District Of Massachusetts	3/24/2009
United States Bankruptcy Court for the District of Massachusetts	3/24/2009
Florida State Bar	10/9/2004

I have currently, or within the year preceding the date of this application, made pro hac vice applications to this Court in the following actions:

Case Number	Case Title	Date of Application (Granted or Denied)*

*If denied, please explain:
(Attach additional form if necessary)

Pursuant to Local Rule 83.15(a), applicants who do not have an office within the Northern District of Illinois must designate, at the time of filing their initial notice or pleading, a member of the bar of this Court having an office within this District upon who service of papers may be made.

Has the applicant designated local counsel? Yes No

If you have not designated local counsel, Local Rule 83.15(b) provides that the designation must be made within thirty (30) days.

RE CATALINA E. AZUERO; FLORIDA BAR; FILE NO. 2021-00,102 (2A)

Case: 1:14-cv-02280 Document #: 122 Filed: 04/12/16 Page 2 of 3 PageID #:2619

Has the applicant ever been:

- censured, suspended, disbarred, or otherwise disciplined by any court? Yes No
- or is the applicant currently the subject of an investigation of the applicant's professional conduct? Yes No
- transferred to inactive status, voluntarily withdrawn, or resigned from the bar of any court? Yes No
- denied admission to the bar of any court? Yes No
- held in contempt of court? Yes No

NOTE: If the answer to *any* of the above questions is yes, please attach a brief description of the incident(s) and the applicant's current status before any court, or any agency thereof, where disciplinary sanctions were imposed, or where an investigation or investigations of the applicant's conduct may have been instituted.

I have read the Rules of Professional Conduct for the Northern District of Illinois and the Standards for Professional Conduct within the Seventh Federal Judicial Circuit, and will faithfully adhere to them. I declare under penalty of perjury that the foregoing is true and correct.

4/12/2016

s/ Catalina E. Azuero

Date

Electronic Signature of Applicant

Applicant's Name	Last Name Azuero	First Name Catalina	Middle Name/Initial E.
Applicant's Law Firm	GOODWIN PROCTER LLP		
Applicant's Address	Street Address 53 State Street		Room/Suite Number
	City Boston	State MA	ZIP Code 02109
			Work Phone Number 617-570-1348

(The pro hac vice admission fee is \$100.00 for cases filed before February 1, 2001, and \$50.00 for cases filed on or after that date, and shall be paid to the Clerk. No admission under Rule 83.14 is effective until such time as the fee has been paid.)

NOTE: Attorneys seeking to appear pro hac vice may wish to consider filing a petition for admission to the general bar of this Court. The fee for admission to the General Bar is \$176.00. The fee for pro hac vice admission is \$100.00 for cases filed before February 1, 2001, and \$50.00 for cases filed after that date. Admission to the general bar permits an attorney to practice before this Court. Pro hac vice admission entitles an attorney to appear in a particular case only. Application for such admission must be made in each case, and the admission fee must be paid in each case.

RE CATALINA E. AZUERO; FLORIDA BAR; FILE NO. 2021-00,102 (2A)

Case: 1:14-cv-02280 Document #: 122 Filed: 04/12/16 Page 3 of 3 PageID #:2620

CERTIFICATE OF SERVICE

I hereby certify that on April 12, 2016, I caused a true and correct copy of the foregoing served upon counsel of record as of this date by electronic filing.

Date: April 12, 2016

/s/ Catalina E. Azuero
Catalina E. Azuero

RE CATALINA E. AZUERO; FLORIDA BAR; FILE NO. 2021-00,102 (2A)

Case 9:17-cv-80495-KAM Document 57 Entered on FLSD Docket 02/22/2018 Page 1 of 2

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA
WEST PALM BEACH DIVISION

CONSUMER FINANCIAL PROTECTION
BEREAU,

Plaintiff,

v.

OCWEN FINANCIAL CORPORATION,
INC.; OCWEN MORTGAGE SERVICING,
INC.; and OCWEN LOAN SERVICING,
LLC,

Defendants.

Case No. 9:17-cv-80495-KAM

NOTICE OF APPEARANCE

PLEASE TAKE NOTICE that I, Catalina E. Azuero, from the law firm of Goodwin Procter LLP, hereby enter my appearance as counsel of record on behalf of OCWEN Financial Corporation, Inc., OCWEN Mortgage Servicing Inc., and OCWEN Loan Servicing, LLC, defendants in the above- captioned action in accordance with Local Civil Rule 11.1(d) and request that copies of all papers in this action be served upon me at the address set forth below. I certify that I am admitted to practice before this Court.

Respectfully submitted,

/s/ Catalina E. Azuero
Catalina E. Azuero, Esq.
Florida Bar No. 821411
Goodwin Procter LLP
100 Northern Avenue
Boston, MA 02210
M: 617-570-1000
F: 617-523-1231
cazuero@goodwinlaw.com

Attorney for Defendants OCWEN Financial Corporation, Inc., OCWEN Mortgage Servicing Inc., and OCWEN Loan Servicing, LLC.

RE CATALINA E. AZUERO; FLORIDA BAR; FILE NO. 2021-00,102 (2A)

Case 9:17-cv-80495-KAM Document 57 Entered on FLSD Docket 02/22/2018 Page 2 of 2

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true and correct copy of the foregoing Notice of Appearance was served on February 22, 2018, on all counsel or parties of record via CM/ECF System (Notice of Electronic Filing generated by CM/ECF System).

/s/ Catalina E. Azuero

Catalina E. Azuero, Esq.