

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018

1. Person Reporting (last name, first, middle initial) Southwick, Leslie H.	2. Court or Organization United States Court of Appeals, Fifth Circuit	3. Date of Report 05/28/2019
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit judge, active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 501 East Court Street Room 3-750 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.) NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Adjunct Professor	Mississippi College School of Law
2. Bar exam drafting committee member	National Conference of Bar Examiners
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.) NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income** NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2018	Public Retirement System of Mississippi	\$23,416.00
2. 2018	University Press of Mississippi, book royalties	\$50.00
3. 2018	National Inst. for Trial Advocacy, book royalties	\$4,868.00
4. 2018	Mississippi College School of Law, salary	\$3,000.00
5. Sept. 2018	Constitutional Law Drafting Committee, Multi-State Bar Examination Meeting	\$3,500.00

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

 NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

 NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bar Examiners	4/5/18-4/8/18	Savannah, GA	Constitutional Law Committee meeting	food, lodging, transportation
2. National Conference of Bar Examiners	9/27/18-9/30/18	Boulder, CO	Constitutional Law Committee meeting	food, lodging, transportation
3. Mississippi Bankruptcy Conference	11/8/18-11/9/18	Biloxi, MS	Teach at seminar	food, lodging, transportation
4.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.) NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.) NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.) NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Parcel # 1, severed mineral interest, Hidalgo County, Tx		None	J	Q					
2. Parcel # 2, severed mineral interest, Hidalgo County, Tx		None	J	Q					
3. Parcel # 3, Hidalgo County, Tx 2001 appraisal		None	M	Q					
4. Citizens National Bank	B	Interest	M	T					
5. State Bank and Trust account	A	Interest	L	T					
6. Synchrony, formerly GE Capital Retail Bank	D	Interest	N	T					
7. Principal Funds -- Govt and Hq Bond		None	K	T					
8. Principal Funds -- Large Cap Growth		None	J	T					
9. Principal Funds -- Large Cap S&P 500		None	K	T					
10. Principal Funds -- MidCap Blend		None	K	T					
11. Principal Financial Variable Life -- SmallCap Blend		None	K	T					
12. Trustmark National Bank Account	A	Interest	J	T					
13. Metropolitan Life Ins. Co. fixed-rate annuity		None	O	T					
14. Community Bank	C	Interest	M	T	Sold (part)	05/07/18	M		
15. PenFed Credit Union	B	Interest	M	T					
16. Origin Bank	B	Interest	M	T	Buy	05/08/18	M		
17.									

1. Income Gain Codes (See Column B) and (D4)	A -- \$1,000 or less	B -- \$1,001 - \$2,500	C -- \$2,501 - \$5,000	D -- \$5,001 - \$15,000	E -- \$15,001 - \$50,000
2. Value Codes (See Columns C) and (D3)	F -- \$50,001 - \$100,000	G -- \$100,001 - \$150,000	H -- \$150,001 - \$500,000	I -- \$500,001 - \$1,000,000	J -- \$1,000,001 - \$5,000,000
3. Value Method Codes (See Column C2)	K -- \$15,001 or less	L -- \$15,001 - \$50,000	M -- \$50,001 - \$100,000	N -- \$100,001 - \$250,000	O -- \$250,001 - \$500,000
	P -- \$500,001 - \$1,000,000	Q -- \$1,000,001 - \$5,000,000	R -- \$5,000,001 - \$10,000,000	S -- \$10,000,001 - \$25,000,000	T -- \$25,000,001 - \$50,000,000
	U -- Appraisal	V -- Cost (Real Estate Only)	W -- Other	X -- Assessment	Y -- Cash Market
	Z -- Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Part VII, Line 1, value is based on a 2001 appraisal
 Part VII, Line 2, value is based on a 2001 appraisal
 Part VII, Line 3, value is based on a 2001 appraisal

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Leslie H. Southwick

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
 Administrative Office of the United States Courts
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