AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ho, James C.	**2. Court or Organization** U.S. Court of Appeals for the Fifth Circuit	**3. Date of Report** 08/29/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	**6. Reporting Period** 01/01/2018 to 12/31/2018
7. Chambers or Office Address 1100 Commerce Street Suite 1264 Dallas, TX 75242-1310		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	09/2012	Morgan Lewis Cash Balance Plan
2.	01/2011	Gibson Dunn Cash Balance Plan
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2018	Morgan, Lewis & Bockius, LLP - Partnership Income
2.	2018	Gibson Dunn and Crutcher, LLP - Partnership Income
3.	2018	Federalist Society, National Lawyers Convenetion - Honorarium
4.	2018	Alliance Defending Freedom, Academy - Honorarium
5.	2018	Walmart, U.S. Supreme Court Review CLE - Honorarium
6.	2018	Alliance Defending Freedom, Summit - Honorarium
7.	2018	Federalist Society, Central Kentucky Lawyers Chapter - Honorarium

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Fifth Circuit Orientation Briefing	01/08-1/10/2018	New Orleans, LA	Orientation for New Judges	Travel Expenses
2.	Federalist Society, University of Chicago Law School	05/04/2018	Chicago, IL	Speaker	Travel Expenses
3.	Fifth Circuit Judicial Conference	05/23-5/25/2018	Austin, TX	Circuit Judicial Conference	Travel Expenses

4. Federalist Society, Houston Lawyers Chapter	06/13/2018	Houston, TX	Speaker	Travel Expenses
5. Federalist Society, Texas Chapters Conference	09/08/2018	Fort Worth, TX	Speaker	Travel Expenses
6. CA5 Judicial Retreat	10/7-10/9/2018	Marble Falls, TX	Judicial Retreat	Travel Expenses
7. Federalist Society, University of Chicago Law School	10/15/2018	Chicago, IL	Speaker	Travel Expenses
8. Federalist Society, Stanford Law School	10/18/2018	Stanford, CA	Speaker	Travel Expenses
9. Yale Law School, Morris Tyler Moot Court of Appeals	11/05/2018	New Haven, CT	Speaker	Travel Expenses
10. Federalist Society, Yale Law School	11/06/2018	New Haven, CT	Speaker	Travel Expenses
11. Federalist Society, Columbia Law School	11/7/2018	New York, NY	Speaker	Travel Expenses
12. Federalist Society, Northwestern Law School	11/8/2018	Chicago, IL	Speaker	Travel Expenses
13. Federalist Society, National Lawyers Convention	11/16/2018	Washington, DC	Speaker	Travel Expenses
14. Don R. Willett Investiture Ceremony	12/13-12/14/2018	Austin, TX	Investiture Ceremony for Fifth Circuit Judge Don R. Willett	Travel Expenses
15. Federalist Society, Central Kentucky Lawyers Chapter	07/10/2018	Frankfort, KY	Speaker (Allyson)	Travel Expenses
16. Alliance Defending Freedom, Summit	08/10/2018	Lake Oconee, GA	Speaker (Allyson)	Travel Expenses
17. Walmart, US Supreme Court Review	09/10/2018	Bentonville, AK	Speaker (Allyson)	Travel Expenses
18. New York Law School, Latham & Watkins Supreme Court Forum	09/26/2018	New York, NY	Speaker (Allyson)	Travel Expenses
19. Alliance Defending Freedom, Academy	09/11/2018	Cancun, Mexico	Speaker (Allyson)	Travel Expenses
20. Federalist Society, National Lawyers Convention	11/16/2018	Washington, DC	Speaker (Allyson)	Travel Expenses

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CitiBank Cash Checking Account	A	Interest	K	T					
2. CitiBank Cash Savings Account	B	Interest	M	T					
3. Wells Fargo Cash Savings Account	A	Interest	J	T					
4. IRA #1 (J) (H)									
5. Schwab Gov't Money Fund	A	Int./Div.	J	T					
6. DFA Global Real Estate	C	Dividend	L	T	Buy	03/02/18	L		
7. DFA Int'l Small Company	C	Dividend	L	T	Buy	03/02/18	L		
8.					Sold (part)	07/19/18	K	A	
9. Schwab US REIT ETF	B	Dividend	K	T	Buy	03/02/18	K		
10.					Buy (add'l)	03/09/18	K		
11.					Buy (add'l)	07/19/18	J		
12. DFA Int'l Small Cap Value		None			Buy	03/02/18	L		
13.					Sold	03/09/18	M	B	
14. DFA Int'l Core Equity	D	Dividend	M	T	Buy	03/02/18	K		
15.					Buy (add'l)	03/27/18	M		
16.					Sold (part)	07/19/18	K	A	
17. Schwab Fndmntl Emerging Markets Index	A	Dividend	K	T	Buy	03/09/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DFA US Core Equity 2	C	Dividend	N	T	Buy	03/09/18	N		
19.					Buy (add'l)	03/29/18	K		
20. DFA Micro Cap	C	Dividend	L	T	Buy	07/30/18	L		
21. IRA #2 (J) (H)									
22. Schwab Gov't Money Fund	A	Int./Div.	M	T					
23. DFA US Core Equity 2	A	Dividend	K	T					
24. DFA US Micro Cap	A	Dividend	J	T					
25. DFA Int'l Small Cap Value	B	Dividend	K	T					
26. DFA Emerging Mkts Small Cap	C	Dividend			Buy	03/02/18	L		
27.					Sold	12/24/18	L	A	
28. DFA Emerging Mkts Core Equity	B	Dividend			Buy	03/02/18	L		
29.					Sold	12/24/18	L	A	
30. Vanguard REIT Index	A	Dividend			Sold	05/25/18	K	A	
31. DFA Global Real Estate	B	Dividend			Sold (part)	05/25/18	J	A	
32.					Sold	12/20/18	K	B	
33. Schwab Fndmntl Emerging Mkts Index	A	Dividend			Buy	08/17/18	J		
34.					Sold	12/24/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. IRA #3 (A) (H)									
36. Schwab Gov't Money Fund	A	Int./Div.	J	T					
37. Schwab Fndmntl Emerging Mkt Index	A	Dividend	J	T	Buy	03/02/18	J		
38. DFA US Large Cap Value Instl	D	Dividend	M	T	Buy	07/19/18	M		
39. DFA Global Allocation 25/75	B	Dividend	L	T	Buy	07/19/18	L		
40. DFA US Target Value	C	Dividend	L	T	Buy	07/30/18	J		
41. DFA US Small Cap Value	C	Dividend	L	T	Buy	07/30/18	K		
42. IRA #4 (A) (H)									
43. Schwab Gov't Money Fund	A	Int./Div.	J	T					
44. DFA US Small Cap Value	C	Dividend	L	T					
45. Schwab Fndmntl Int'l Small Co Index	A	Dividend	J	T					
46. DFA US Targeted Value	C	Dividend	L	T	Buy (add'l)	07/19/18	L		
47. DFA US Micro Cap	C	Dividend	L	T	Buy	07/19/18	M		
48. DFA US Core Equity 2	B	Dividend	L	T	Buy	07/19/18	L		
49. 401k #1 (A)									
50. Vanguard Target Retirement 2035		None	J	T	Buy	08/15/18	J		
51.					Buy (add'l)	08/30/18	J		

1. Income Gain Codes:	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
(See Columns B1 and D4)	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
(See Columns C1 and D3)	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	09/15/18	J		
53.					Buy (add'l)	09/30/18	J		
54.					Buy (add'l)	10/15/18	J		
55.					Buy (add'l)	10/30/18	J		
56.					Buy (add'l)	11/15/18	J		
57.					Buy (add'l)	11/30/18	J		
58.					Buy (add'l)	12/15/18	J		
59.					Buy (add'l)	12/30/18	J		
60. 529 #1 (H)									
61. American Funds Capital Income Builder	B	Dividend	L	T	Buy (add'l)	08/13/18	K		
62. American Funds Capital World Growth & Income	B	Dividend	L	T	Sold (part)	08/13/18	K	C	
63. American Funds Small Cap World	A	Dividend	J	T	Sold (part)	08/13/18	J	A	
64. American Funds New World	A	Dividend	J	T	Sold (part)	08/13/18	J	A	
65. American Funds Bond Fund of America	A	Dividend	K	T	Buy (add'l)	08/13/18	K		
66. American Funds Capital World Bond	A	Dividend	K	T	Buy (add'l)	08/13/18	K		
67. 529 #2 (H)									
68. American Funds Capital Income Builder	B	Dividend	L	T	Sold (part)	08/13/18	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	09/06/18	J		
70. American Funds Capital World Growth & Income	B	Dividend	L	T	Buy (add'l)	08/13/18	K		
71.					Sold (part)	09/06/18	K	A	
72. American Funds Small Cap World	A	Dividend	J	T	Sold (part)	08/13/18	J	A	
73. American Funds New World	A	Dividend	J	T	Sold (part)	08/13/18	J	A	
74.					Buy (add'l)	09/06/18	J		
75. American Funds Bond Fund of America	A	Dividend	K	T	Buy (add'l)	08/13/18	K		
76.					Buy (add'l)	09/06/18	J		
77. American Funds Capital World Bond	A	Dividend	K	T	Buy (add'l)	08/13/18	K		
78.					Sold (part)	09/06/18	J	A	
79. American Funds US Gov't Securities	A	Dividend	J	T	Buy	08/13/18	J		
80. Brokerage Account #1 (H)									
81. Schwab Muni Money Fund	A	Interest	K	T					
82. DFA Emerging Markets Small		None	L	T	Buy	12/24/18	K		
83. DFA Int'l Small Company	C	Dividend	L	T	Buy	10/31/18	L		
84. DFA US Micro Cap	C	Dividend	L	T	Buy	10/31/18	L		
85.					Buy (add'l)	12/24/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Ho, James C.	08/29/2019

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

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86. DFA US Core Equity 2	B	Dividend	M	T	Buy	10/31/18	L		
87.					Buy (add'l)	12/24/18	L		
88. DFA Int'l Core Equity	C	Dividend			Buy	06/27/18	L		
89.					Buy (add'l)	07/18/18	L		
90.					Sold	12/24/18	M		
91. Dodge & Cox International		None	M	T	Buy	12/24/18	L		
92. DFA Emerging Markets Core	A	Dividend	M	T	Buy	10/22/18	K		
93.					Buy (add'l)	12/24/18	L		
94. DFA US Core Equity 1	D	Dividend			Buy	04/02/18	M		
95.					Buy (add'l)	06/28/18	L		
96.					Buy (add'l)	07/18/18	L		
97.					Sold	12/24/18	N		
98. Vanguard Ltd Term Tax Exempt	A	Dividend			Buy	06/28/18	K		
99.					Buy (add'l)	07/18/18	L		
100.					Sold (part)	08/22/18	J		
101.					Sold	12/21/18	M		
102. Thornburg Intermediate Muni	B	Dividend	K	T	Buy	04/02/18	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103.					Sold (part)	10/22/18	L		
104. Schwab Total Stock Market	A	Dividend	L	T	Buy	12/24/18	K		
105. First National Bank CD	B	Interest			Buy	07/27/18	M		
106.					Matured	10/29/18	M		
107. DFA Global Real Estate	B	Dividend	K	T	Buy	10/22/18	K		
108. DFA US Large Cap Value		None	L	T	Buy	12/24/18	K		
109. DFA US Targeted Value		None	L	T	Buy	12/24/18	L		
110. MetLife Group Variable Universal Life Insurance; Cash Value		None	N	T					
111. Fidelity Variable Insurance Freedom 2040 Portfolio	A	Dividend	M	T					
112. Trust #1 (H)									
113. Schwab Gov't Money Fund	A	Dividend	J	T					
114. Alternative Investments #1 (H)									
115. Goldcrest Capital, LP		None	M	T					
116. CSOP Palantir III, LP (f/k/a Goldcrest Palantir III, LP)		None	L	T					
117. Goldcrest Capital Fund II-B, LP		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James C. Ho

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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